

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

017309/0173

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/380731

INTERNATIONAL APPLICATION NO.

PCT/DE98/00630

INTERNATIONAL FILING DATE

March 4, 1998

PRIORITY DATE CLAIMED

March 13, 1997

TITLE OF INVENTION

ANCILLARY FILTERING AGENT

APPLICANT(S) FOR DO/EO/US

Stefan HERZOG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Article 34 Amendments with replacement sheets 4, 5, 6, 7, 8, 9, 10, 15, 16, 17, 18, 19, and Verification of Translation

09/380731-091399

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- 17.
- ☒
- The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
..... \$670.00No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e))

\$ 0.00

Claims	Number Filed	Number Extra	Rate
Total Claims	35 -20 =	15	X \$18.00

\$ 270.00

Independent Claims

\$ 0.00

Multiple dependent claim(s) (if applicable)

\$ 0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 270.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0.00

**SUBTOTAL =**

\$ 270.00

Processing fee of \$130.00 for furnishing English translation later the ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

**TOTAL NATIONAL FEE =**

\$ 1,110.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

**TOTAL FEES ENCLOSED =**

\$ 1,110.00

Amount to be:  
refunded \$

charged \$

a. ☒ A check in the amount of \$1,110.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of \$ to the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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*George E. Quillin*  
SIGNATURE

George E. Quillin  
NAME

32,792  
REGISTRATION NUMBER

09/380731-09380731

Form PTO-1390 (REV 5-93) (Modified)

09/380731

510 Rec'd PCT/PTO 13 SEP 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 017309/0173

In re patent application of  
Josef Otto RETTENMAIRER  
Serial No. Unassigned  
Filed: September 13, 1999  
For: ANCILLARY FILTERING AGENT

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, Applicants respectfully request that the following amendments be entered into the application:

IN THE CLAIMS:

Claim 4, line 1, delete "one of claims 1 to 3" and insert --1--;

Claim 5, line 1, delete "one of claims 1 to 3" and insert --claim 1--;

Claim 6, line 1, delete "one of claims 1 to 5" and insert --claim 1--;

Claim 7, line 1, delete "one of claims 1 to 6" and insert --claim 1--;

Claim 8, line 1, delete "one of claims 1 to 7" and insert --claim 1--;

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Claim 9, line 1, delete "one of claims 1 to 8"  
and insert --claim 1--;

Claim 10, line 1, delete "one of claims 1 to 9"  
and insert --claim 1--;

Claim 11, line 1, delete "one of claims 1 to 10"  
and insert --claim 1--;

Claim 12, line 1, delete "one of claims 1 to 11"  
and insert --claim 1--;

Claim 13, line 1, delete "one of claims 1 to 12"  
and insert --claim 1--;

Claim 14, line 1, delete "one of claims 1 to 13"  
and insert --claim 1--;

Claim 15, line 2, delete "one of claims 1 to 14"  
and insert --claim 1--;

Claim 17, line 1, delete "or 16";

Claim 18, line 1, delete "one of claims 15 to 17"  
and insert --claim 15--;

Claim 19, line 1, delete "one of claims 15 to 18"  
and insert --claim 15--;

Claim 20, line 1, delete "one of claims 15 to 19"  
and insert --claim 15--;

Claim 21, line 1, delete "one of claims 15 to 20"  
and insert --claim 15--;

Claim 22, line 1, delete "one of claims 15 to 21"  
and insert --claim 15--;

Claim 23, line 1, delete "one of claims 15 to 22"  
and insert --15--;

Claim 24, line 1, delete "one of claims 15 to 23"  
and insert --claim 15--;

Claim 25, line 1, delete "one of claims 15 to 24"  
and insert --claim 15--;

Claim 26, line 1, delete "one of claims 15 to 25"  
and insert --claim 15--;

Claim 27, line 1, delete "one of claims 15 to 26"  
and insert --claim 15--;

Claim 28, line 1, delete "one of claims 15 to 27"  
and insert --claim 15--;

Claim 30, line 2, delete "one of claims 15 to 28"  
and insert --claim 15--;

Claim 31, line 1, delete "or 30";

Claim 32, line 1, delete "or 30";

Claim 33, line 1, delete "or 30";



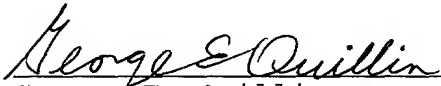
Attorney Docket No. 017309/0173

REMARKS

Applicants respectfully request that the foregoing amendments to Claims 4-15, 17-28, and 30-35 be entered in order to avoid this application incurring a surcharge for the presence of one or more multiple dependent claims.

Respectfully submitted,

September 13, 1999

  
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Filter Aid

The invention relates to a filter aid, as disclosed in the preamble of claim 1, a process for its production and its application.

Cellulose-based filter aids have been known for a long time ("Ullmann's Encyklopädie der technischen Chemie", 3rd edition (1951), first volume, page 492, key word "felted layers" and page 493, key word "filter aids"). Cellulose is produced in a multi-step chemical process, in which all sensorially active materials are removed from the raw material.

Hence filter aids made of pure cellulose are used wherever the sensorial neutrality of the used filter aid is of great significance. Examples of cellulosic filter aids are EFC (low extract cellulose), fine powder cellulose, fine fibrillated cellulose, cationized powder cellulose, fine MCC (microcrystalline cellulose).

In contrast, filter aids made of untreated woodpulp are produced by mechanical comminution, thus only by physical treatment, and can, thus, release extractives (color, odor, flavor) during filtration. Therefore, the use of wood fiber-based filter aids is usually limited to industrial filtrations, where relatively little demand is placed on the sensory analysis.

Not only for filtration in the food and luxury food sector, but also for many industrial applications, they may not be considered, e.g. for sugar solutions (glucose, dextrose, fructose), molasses, dye solutions, fats and oils and the like.

The difficult field of beverage filtration demands, on the one hand, complete sensorial neutrality of the used filter aid; on the other hand, the number of commonly used filter aids are limited for economic reasons, since the maximum expense for the filter aid is fixed by the price of the mineral filter aids dominating this market.

Usually beer filtration take place in two steps. The first step usually involves a coarse filtration, during which operation the liquid usually passes through a precoated layer of a filter aid. This step is frequently followed by a fine filtration (membrane, kieselguhr, etc.).

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The standard filter aid for the precoat-type filter in the beverage, especially beer, sector, is kieselguhr. A high percentage of the world beer production is clarified by kieselguhr filtration. Currently it exceeds more than 1.1 billion hl beer.

The total demand for filter aids is worldwide ca. 750,000 tons per year, where inorganic materials, like, for example, kieselguhr, perlite or bentonite, constitute by far the largest share of this amount. Of this total amount about 250,000 tons to 300,000 tons per year are consumed worldwide by the beverage industry, largely by the breweries, but also by producers of wine and fruit juices.

The amount of filter aids, which are based on organic raw materials that can regrow again (cellulose, woodpulp, etc.), is to date only ca. 20,000 tons per year, even though their use offers a plurality of advantages over inorganic filter aids.

Thus organic filter aids are natural materials, whose quality fluctuates only over a narrow range and whose occurrence can be renewed at regular intervals. In addition the use of organic filter aids presents neither a health risk nor harmful effects for the environment and nature. Pumps and conveying elements of the filtration system are protected as much as possible owing to the non-abrasive property. Finally the consumed filter cakes can be easily disposed, for example, through land management, composting or animal fodder.

Of course, organic filter aids are in part many times more expensive than kieselguhr or they exhibit filtration properties that do not completely match those of kieselguhr.

For this reason organic filter aids have not been able to prevail to date against kieselguhr or are used in any case together with kieselguhr (report by J. Speckner "Cellulose as Filter Aids" in the journal ("Brauwelt", vol. 124 (1984), issue 46, pages 2058 to 2066, in particular page 2062, left column top).

However, kieselguhr has become increasingly a problem. As a natural mineral material its occurrence is limited. Thus in the case of kieselguhr one must resort more and more to low grade qualities in order to meet the high demand of industry. The result is, however, a rising cost for the cleaning and processing of kieselguhr, which could in the long run have a negative impact on its economic situation.

An even greater impact presents, however, the fact that the users' attitude toward kieselguhr is becoming more critical.

This reservation stems from the problems posed to the lungs due to many natural mineral materials and hence kieselguhr, a feature that must be taken very seriously from the point of view of occupational medicine. In 1988 the World Health Organization (WHO) categorized kieselguhr as a carcinogenic substance following a series of animal experiments. For handling there are strict regulations that are being asserted and observed more and more in Germany.

Starting from these urgent problems in particular in the beer filtration sector, the invention is based on the problem of developing a filter aid that is effective and can be provided economically.

Sensorially active substances, thus active with respect to color, odor and / or flavor, are to be removed from the filter aid to a sufficient extent prior to use as a filter aid so that none of these substances can pass into the filtrate to a significant extent and impair its sensorial properties. The particles are neutralized sufficiently to a certain extent sensorially in order to be able to serve as a filter aid. In so doing, it is essential that the treatment is carried out only to the extent that it is necessary for this purpose. The use of energy and chemicals remains in a justifiable range so that the product can compete economically with kieselguhr. The action is, therefore, not as intensive as in the case of the production of cellulose from wood fibers. Surprisingly it has been found that by means of a liquid treatment a sufficient neutralization of the particles with respect to sensorial aspects can be achieved without the need for simultaneously high pressures and temperatures to be employed, without the need for large amounts of aggressive chemicals and treatment periods of many hours to days. In this respect the invention opens an expanded area of application for plant fiber materials without the expense required for the production of cellulose.

In a preferred embodiment of the inventive thought the particles comprise wood particles (claim 2), for example, wood fibers (claim 3) or, in particular, wood comminution residues (claim 4), hence for example sawdust, sanding dust, wood shavings, wood chips, cutting waste, chipped wood and the like.

In the preferred embodiment of the invention the particles have been treated with a dilute alkali solution (claim 5). However, treatment with diluted acid (claim 6), with an organic or inorganic solvent (claim 7) or also only with water (claim 8) is not ruled out, where according to the

kieselguhr is becoming increasingly more critical in industrial countries. A classification as hazardous waste makes landfilling considerably more difficult. With the introduction of the new technical rules on municipal waste, the disposal situation for kieselguhr is becoming more restrictive. In many cases, disposal of kieselguhr used as filter aid already gives rise to costs of approximately DM 600,000 per t of kieselguhr, if this was used in beer filtration, or 1500.00 per t of kieselguhr if this was used in the industrial filtration of problematic substances.

Starting from these urgent problems in particular in the beer filtration sector, the object underlying the invention is to develop a filter aid which is effective and can be provided economically.

This object is achieved by the invention described in claim 1.

Substances which are sensorially active, that is active with respect to color, odor and/or flavor, are to be removed from the filter aid to a sufficient extent prior to use as filter aid, so that none of these substances can transfer into the filtrate to a significant extent and impair its sensory properties. The particles are neutralized sufficiently to a certain extent sensorially in order to be able to serve as filter aid. It is essential here that the treatment is

Replacement sheet 4a



Article 34  
Amendment

2nd Replacement sheet 5a

to the invention comprise, for example, wood fibers (claim 2) or, in particular, wood comminution residues (claim 3), that is for example sawdust, sanding dust, wood shavings, wood chips, cutting waste, chipped wood and the like.

The grinding performed in the production of the wood particles in the invention substantially determines the filtration properties. With fine grinding, the permeability of the filter layer is generally lower. By means of the grinding (micronization, fibrillation), in addition the particle shape is affected which in turn changes the water value, which is still to be explained, as a measure of the permeability of the filter layer. In the case of fibrous cellulose products these can be fibrillated to a greater or lesser extent. The grinding can also be performed in a plurality of steps in which a first grinding for the production of the particles is followed by a further

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AMENDED SHEET

Art. 34  
Amendment

2nd Replacement sheet 5b

grinding after the treatment and before or after the drying.

The filter aid particles produced from wood particles are still actually to have wood character, i.e. the lignin shall not have been virtually quantitatively extracted from the raw material wood, as occurs in cellulose production in the sulfite or sulfate process by treatment for many hours at elevated pressure at temperatures far above 100°C.

The treatment time in the invention can be relatively short, for example less than two hours, so that it is differentiated by one order of magnitude from the treatment time in the production of cellulose. The purpose is the removal of only fractions of the wood which are unwanted with respect to the use as filter aid, i.e. have an effect in terms of flavor, odor and/or color in the filtrate. This is not in this case primarily lignin, but

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Art. 34  
Amendment

## Replacement sheet 6

compounds such as essential oils, terpeneoils and terpenoids, tannic acids, fats and waxes, phenolic substances (lignans, phenylpropanes, coumarin) stilbenes, flavonoids and the like, which make up an amount of from approximately 4 to 5 percent by weight of the dry wood. It has been found that these compounds can be, by means of a treatment with dilute alkali solutions acids [sic] even at room temperatures under atmospheric pressure, extracted from the wood or else made inactive to the extent that the treated wood particles are sufficiently neutral sensorially for the practical use as filter aid. It is not the case that during a rigorous analysis no residues of the unwanted type can be observed any longer, but that, for example, a medium filtered using the filter aid does not permit, during sensory testing, any wood flavor or wood aroma or any brown discoloration to be recognized. The treatment can be brief relative to the treatment durations of cellulose production.

An important feature in the treatment of the particles is, in addition, that the treatment can also take place at temperatures below 100°C and simultaneously at atmospheric pressure, which substantially simplifies the plant required for producing the filter aid.

The filter aid of the invention can be prepared

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Amendment

Replacement sheet 6a

particularly economically. The costs may be in the same order of magnitude as the costs of kieselguhr, but only about one third of the costs for cellulose powder.

It also appears to be the case that the particles treated according to the invention have on the surface an additionally roughened or fissured structure which advantageously affects the filter properties.

Underlying the preamble of claim 1 is French Patent 385 035 which has, as its subject matter, wood fibers used for filter purposes which are treated, to avoid rotting, with a permanganate solution with or without addition of acid.

From JP-A 73 28 353, DE 41 10 252 C1 and EP 747 104 A2 can be taken the use of cellulose fibers, that is not alkali-solution-treated wood particles

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AMENDED SHEET

Part 34  
Amendment

# Replacement sheet 7

, mixed with other components as filter aid.

From FR 534 288 there arises a filter aid for wines, which aid consists of wood wool which is thoroughly washed and treated with 1% strength tartaric acid.

According to claim 4 the filter aid can comprise essentially only wood particles of one and the same type, size and pretreatment, that is can essentially be uniformly composed.

However, it is also possible according to claim 5 for the filter aid to comprise at least two particle fractions comminuted according to different processes, in order to be able to set the filtration properties in accordance with the requirements.

From the same aspect, the filter aid can comprise at least two particle fractions comminuted to different dimensions (claim 6) and/or at least two particles produced from different starting materials (claim 7).

The filter aid can also comprise other fractions which do not affect the filtration properties (claim 8).

It can also be a mixture with other filter-active constituents, i.e. not consisting of plant fibers (claim 9), also with mineral constituents (claim 10), namely with kieselguhr (claim 11), which would

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Art. 34  
Amendment

Replacement sheet 8

The filter aid of the invention can be used to form prefloat filter layers in the same manner as was previously the case with mineral filter aids.

The invention also extends to a process according to claim 15 for preparing the filter aid in which the particles are digested by the treatment liquid in the course of a period of action.

A suitable temperature range in the treatment of the particles is the range of room temperature, which, although it requires no heating energy consumption, does require longer treatment times (claim 16).

A further practicable region with shorter treatment times is 50-100°C (claim 17).

According to claim 18, atmospheric pressure in the temperature range of 70 to 90°C can be employed, which is a temperature markedly increased with respect to room temperature, but is below the boiling point and eliminates the use of pressure vessels. This leads to a usable filter aid with a minimum of equipment and energy consumption.

"Dilute alkali solution" shall mean an aqueous solution having a content of from 2 to 10% by weight of the dry alkali, based on the solids content (claim 19).

In the preferred embodiment of the invention, sodium hydroxide solution is used (claim 20).

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Art. 34  
Amended

# Replacement sheet 8a

The period of action depends, apart from the pressure and temperature, on the solution capacity of the dilute alkali solution for the unwanted constituents. In the case of dilute alkali solution, it is also the case that it is not periods of action in the seconds region which come into question, but those which are short in comparison with the periods of action of many hours to days necessary in cellulose production. The period of action is partly dependent on the particle size.

It is, moreover, of a size determined by the fact that precisely only the sensory-critical substances are to be removed from the particles, in particular the wood particles. The latter purpose is

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achieved when at most 10% by weight on an absolutely dry basis of the wood constituents are removed (claim 21), whereas the production of cellulose relates to the liberation of generally more than 30% of the wood constituents.

The period of action can be, with an alkali solution treatment, in particular between 5 and 120 min. (claim 22).

The consistency, i.e. the proportion by weight of the particles in the dilute alkali solution, can be from 5 to 25% in the treatment (claim 23).

The particles, after the period of action, can be washed and dried (claim 24).

The particle size (maximum of the particle size distribution) can be up to 10 mm, preferably 0.1 to 1.0 mm, during the treatment (claim 25).

Since a grinding in the wet phase changes the particle shape, a possibility is opened up in this manner of setting the water value (claim 26).

In individual cases it is possible, without relinquishing the lack of sensory hazard to further comminute the particles after the alkali solution treatment and the drying, simultaneously with the drying or after the drying (claim 27).

To obtain clear conditions with respect to the filter properties, it is advisable according to claim 28 to classify the particles after the alkali solution

AMENDED SHEET

Article 34  
Amendment

2nd Replacement sheet 9a

treatment and the drying.

The invention is also embodied in the use of finely divided wood particles which have been subjected to a treatment with a dilute lute [sic] at a temperature below 100°C and at atmospheric pressure, which treatment removes the sensorially active substances from the wood particles, as filter aid (claim 29), in particular when the particles have been treated according to the process of claims 15 to 28 (claim 30).

A suitable use is in particular in beverage filtration, in particular beer filtration (claim 31).

Other fields of application of the invention are food filtration (claim 32), for example sugar solutions, edible oil, fat, gelatin, citric acid, alginate etc., filtration

AMENDED SHEET

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Figure 1 consists of seven vertically stacked line graphs, labeled (a) through (g). Each graph plots a physiological parameter against time in minutes, from 0 to 10. The graphs show a baseline period followed by a 10-minute intervention period. The parameters are: (a) Heart rate (b/min), (b) Systolic blood pressure (mmHg), (c) Diastolic blood pressure (mmHg), (d) Mean arterial pressure (mmHg), (e) Stroke volume (ml), (f) Cardiac output (l/min), and (g) Arterial oxygen saturation (SaO<sub>2</sub> (%)). The graphs show that heart rate, stroke volume, and cardiac output increase during the intervention, while blood pressure and arterial oxygen saturation remain relatively stable.

Figure 1 consists of seven vertically stacked line graphs, labeled (a) through (g). Each graph plots a physiological parameter against time in minutes, from 0 to 10. The graphs show a baseline period followed by a 10-minute intervention period. The parameters are: (a) Heart rate (b/min), (b) Systolic blood pressure (mmHg), (c) Diastolic blood pressure (mmHg), (d) Mean arterial pressure (mmHg), (e) Stroke volume (ml), (f) Cardiac output (l/min), and (g) Arterial oxygen saturation (SaO<sub>2</sub> (%)). The graphs show that heart rate, stroke volume, and cardiac output increase during the intervention, while blood pressure and arterial oxygen saturation remain relatively stable.

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Art. 34  
Amendment

## Replacement sheet 10a

hydrochloric acid and the solution was filtered off under vacuum via a plastic filter. The subsequent rinsing was performed at least twice each time with 200 to 500 ml of water at 70°C.

Sample 2 was treated with hot alkali solution and rinsed cold. In a mixing and treatment reactor, at temperatures above 50°C and with stirring 330 g of wood fiber flour (particle range: 70 - 150  $\mu\text{m}$ ), 3700 ml of water and less than

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Patent claims:

1. Filter aid which comprises finely divided wood particles which have been subjected to a chemical liquid treatment, characterized in that the particles have been subjected to a treatment with a dilute alkali solution at a temperature below 100°C and at atmospheric pressure, which removes the sensorially active substances from the wood particles.
2. Filter aids according to claim 1, characterized in that the particles comprise wood fibers.
3. Filter aids according to claim 1, characterized in that the particles comprise wood comminution residues.
4. Filter aid according to one of claims 1 to 3, characterized in that it essentially comprises only wood particles of one and the same type, size distribution and pretreatment.
5. Filter aid according to one of claims 1 to 3, characterized in that it comprises at least two fractions of particles comminuted by different processes.
6. Filter aid according to one of claims 1 to 5, characterized in that it comprises at least two fractions of particles comminuted to different dimensions.
7. Filter aid according to one of claims 1 to 6,

**AMENDED SHEET**

[illegible]

Article 34  
Amendment

Replacement sheet 16

characterized in that it comprises fractions of particles produced from at least two different starting materials.

8. Filter aid according to one of claims 1 to 7, characterized in that it comprises other organic or inorganic fractions which do not affect the filtration properties.

9. Filter aid according to one of claims 1 to 8, characterized in that it comprises other filter-active fractions.

10. Filter aid according to one of claims 1 to 9 characterized in that it comprises other mineral fractions.

11. Filter aid according to one of claims 1 to 10, characterized in that it comprises kieselguhr.

12. Filter aid according to one of claims 1 to 11, characterized in that it comprises perlite.

13. Filter aid according to one of claims 1 to 12, characterized in that the mean particle dimension of the ready-to-use filter aid is below 3.0 mm.

14. Filter aid according to one of claims 1 to 13, characterized in that the mean fiber diameter is below 1.0 mm in the case of fibrous particles.

15. Process for producing the filter aid according to one of claims 1 to 14, characterized in that the particles are digested with the dilute alkali solution

AMENDED SHEET

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during a period of action.

16. Process according to claim 15, characterized in that the temperature of the dilute alkali solution during the treatment is in the range of room temperature.

17. Process according to claim 15 or 16, characterized in that the temperature of the dilute alkali solution during treatment is 50-100°C.

18. Process according to one of claims 15 to 17, characterized in that the temperature of the dilute alkali solution during the treatment is from 70 to 90°C.

19. Process according to one of claims 15 to 18, characterized in that concentration of the dilute alkali solution is from 2 to 10% by weight, based on the solids content.

20. Process according to one of claims 15 to 19, characterized in that the alkali solution used is sodium hydroxide solution.

21. Process according to one of claims 15 to 20, characterized in that the period of action is of a duration such that at most 10% by weight on an absolutely dry basis of the wood constituents are removed.

22. Process according to one of claims 15 to 21, characterized in that the period of action is from 5 to 120 min.

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23. Process according to one of claims 15 to 22, characterized in that the consistency during the treatment is from 5 to 25%.

24. Process according to one of claims 15 to 23, characterized in that the particles are washed and dried after the period of action.

25. Process according to one of claims 15 to 24, characterized in that the particle size during the treatment is up to 10 mm, preferably from 0.1 to 1.0 mm.

26. Process according to one of claims 15 to 25, characterized in that the water value is set by influencing the grinding in the wet phase (refiner).

27. Process according to one of claims 15 to 26, characterized in that the particles are further comminuted after the treatment and before the drying, simultaneously with the drying or after the drying.

28. Process according to one of claims 15 to 27, characterized in that the particles are classified after the treatment and the drying.

29. The use of finely divided wood particles which have been subjected to a treatment with a dilute alkali solution at a temperature below 100°C and at atmospheric pressure, which treatment removes the sensorially active substances from the wood particles, as filter aid.

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30. The use of finely divided wood particles which have been treated according to one of claims 15 to 28 as filter aid.

31. The use according to claim 29 or 30 in beverage filtration, in particular beer filtration.

32. The use according to claim 29 or 30 in food filtration.

33. The use according to claim 29 or 30 in the sector of the cleaning of liquids in the chemicals industry.

34. The use according to claim 29 or 30 in the sector of the cleaning of auxiliary liquids in metalworking.

35. The use according to claim 29 or 30 in the sector of pharmaceuticals and cosmetics.

[illegible]

**A b s t r a c t:**

The filter aid comprises finely divided plant fibers, which for a period of action have been subjected to a liquid treatment, which removes the sensorially active substances from the plant fibers.

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# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## ANCILLARY FILTERING AGENT

the specification of which is attached hereto unless the following box is checked:

☒ was filed on March 4, 1998 as United States Application Number or PCT International Application Number PCT/DE98/00630 and was amended on September 13, 1999 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
<u>19710315.4</u>	<u>Federal Republic of Germany</u>	<u>13/MARCH/1997</u>	<u>YES</u>

☐ I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

APPLICATION NO.	FILING DATE

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; Alan I. Cantor, Reg. No. 28,163; William T. Ellis, Reg. No. 26,874; John J. Feldhaus, Reg. No. 28,822; Patricia D. Granados, Reg. No. 33,683; John P. Isacson, Reg. No. 33,715; Michael D. Kaminski, Reg. No. 32,904; Kenneth E. Krosin, Reg. No. 25,735; Glenn Law, Reg. No. 34,371; Eugene M. Lee, Reg. No. 32,039; Richard Linn, Reg. No. 25,144; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybil Meloy, Reg. No. 22,749; Richard C. Peet, Reg. No. 35,792; George E. Quillin, Reg. No. 32,792; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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